

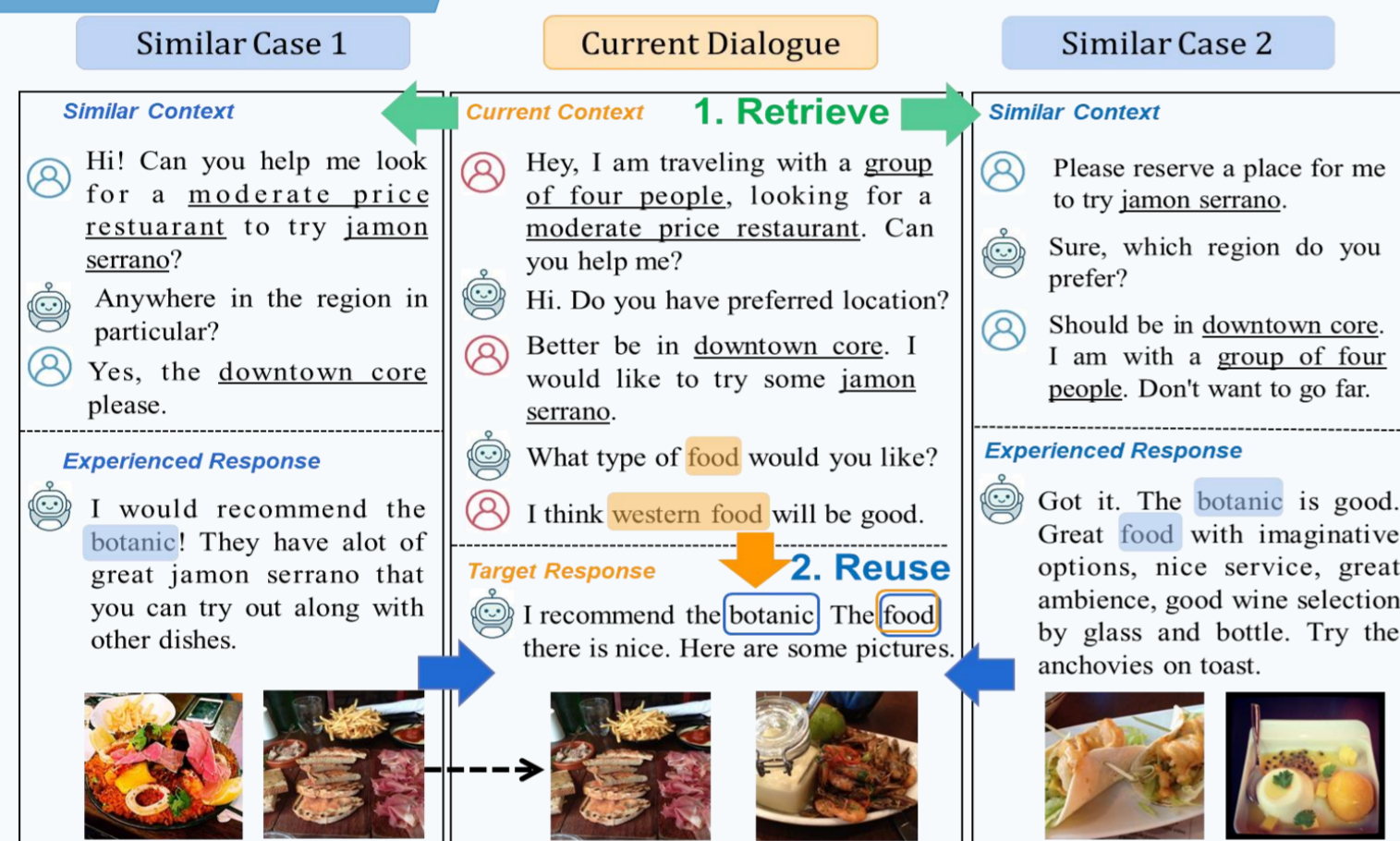
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Abstract

- Multimodal dialogue systems face the following challenges:
 - Automatically generate **context-specific responses** instead of safe but general responses;
 - Naturally coordinate between **different information modalities**;
 - Intuitively **explain the reasons** for generated responses and improve a specific response **without re-training whole model**.
- We propose a neural **case-based reasoning** framework to **reflect on experiences for multimodal response generation (RERG)**, which consists of two modules:
 - A multimodal contrastive learning enhanced **retrieval model** for soliciting similar dialogue instances;
 - A cross copy based **reuse model** to explore the current dialogue context (*vertical*) and similar dialogue instances' responses (*horizontal*) for response generation simultaneously.
- Extensive experiments validate the superiority of RERG on the mentioned challenges.

Method



Retrieval Module

- Textual Contrastive Learning:** follows SimCSE [1]

$$L_{\text{textual}} = -\log \frac{\exp(s_i^z \cdot s_j^z / \tau)}{\sum_{j=0}^{N'} \exp(s_i^z \cdot s_j^z / \tau)}$$

s_i, s_j are the encoded text contexts, z_i, z_j^z are different dropout masks.

- Visual Contrastive Learning:** follows MoCo-v2 [2]

$$L_{\text{visual}} = -\log \frac{\exp(q_i \cdot k_i^+ / \tau)}{\sum_{j=0}^M \exp(q_i \cdot k_j^+ / \tau)}$$

q_i, k_i^+ are the encoded image contexts from query and key encoders; q_i, k_i^+ augment from the same image.

- Case-level Triplet Ranking**

$$c_i = f_{MLP}([s_i; q_i])$$

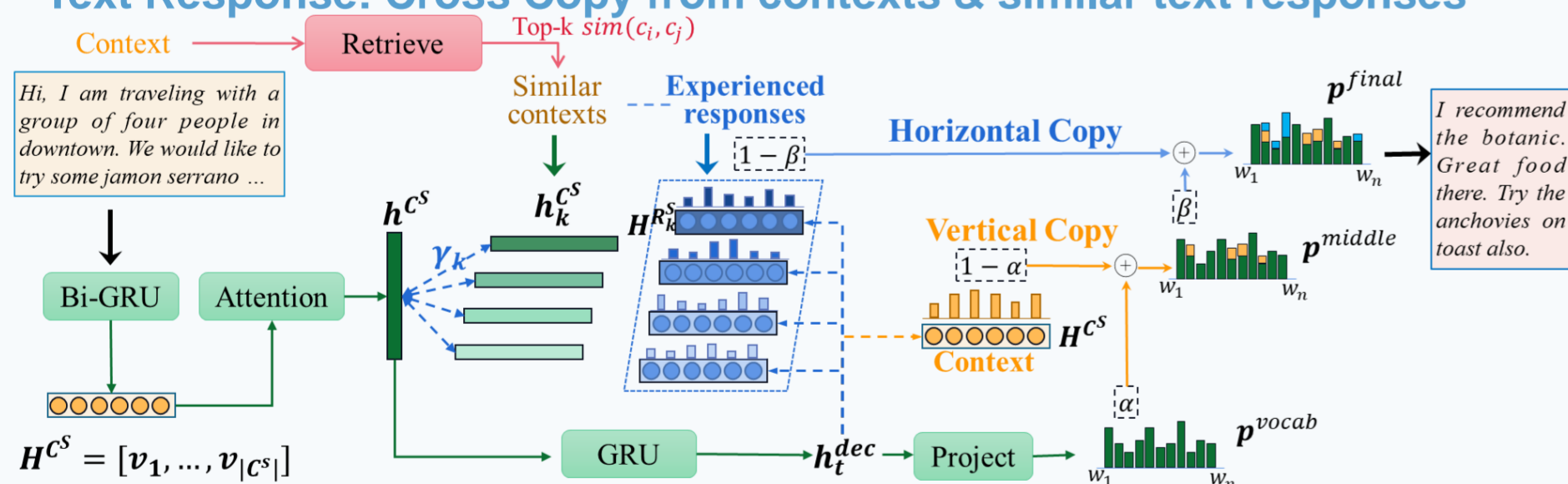
c_i^+ is the ground-truth similar cases; c_i^- is the batch-hardest cases;

$$L_{\text{triplet}} = \max(0, \epsilon - \text{sim}(c_i, c_i^+) + \text{sim}(c_i, c_i^-)).$$

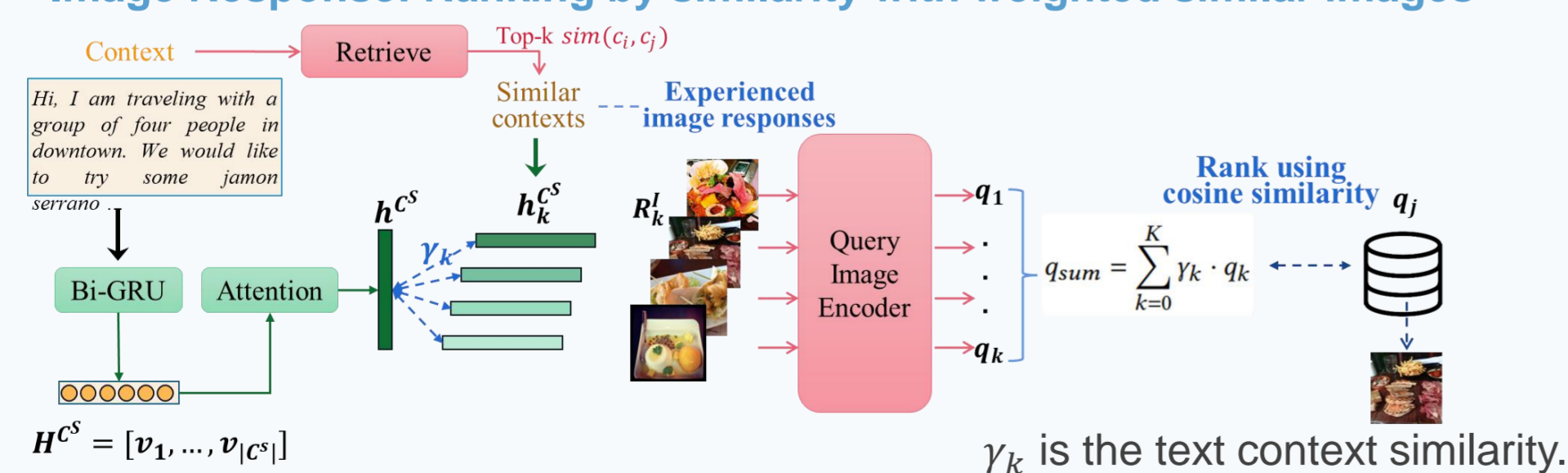
sim function is dot product.

Reuse Module

- Text Response: Cross Copy from contexts & similar text responses**



- Image Response: Ranking by similarity with weighted similar images**



Reference

- Gao et al. 2021. SimCSE: Simple Contrastive Learning of Sentence Embeddings. In EMNLP, 6894-6910.
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- Zhang et al. 2020. DIALOGPT: Large-Scale Generative Pre-training for Conversational Response Generation. In ACL, 270-278.
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- Wang et al. 2020. Modelling Hierarchical Structure between Dialogue Policy and Natural Language Generator with Option Framework for Task-oriented Dialogue System. In ICLR.
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Experiments

Dataset

- ✓ MMConv [3]

Evaluation Metric

- ✓ Text response: BLEU, NIST, ROUGE-L, Entity F1 and Match Rate
- ✓ Image response: Recall@k

Baselines

- ✓ DialoGPT [4]; LaRL [5]; HDNO [6]; MMD [7]; MMConv [3].

Results

Group	Method	Textual Response					Image Response		
		BLEU	NIST	ROUGE-L	Entity-F1	Match Rate	Recall@1	Recall@3	Recall@5
Text-based	DialoGPT [46]	18.32	3.160	0.4419	18.89	24.7	-	-	-
	LaRL [50]	13.33	2.496	0.3214	5.36	1.5	-	-	-
	HDNO [40]	14.79	2.745	0.3663	8.23	2.3	-	-	-
Multimodal	MMD [37]	16.60	3.062	0.3728	11.08	5.1	4.69	8.33	11.98
	MMConv [23]	32.33	5.758	0.5402	49.01	69.2	17.85	-	-
	RERG_5	30.75	5.616	0.5585	52.55	79.3	22.83	24.88	26.33
	RERG_5+5	31.17	5.529	0.5776	54.36	80.6	23.43	25.60	36.57
	RERG_2	29.66	5.374	0.5591	51.55	81.9	33.94	35.51	36.23
	RERG_10	27.72	5.345	0.5322	46.69	69.8	14.37	16.67	17.75

Observations:

- RERG achieves leading ROUGE-L performance, indicating that it learns **useful natural language patterns** from contexts and similar responses.
- RERG outperforms largely on Entity F1 & Match Rate, indicating richer and more accurate entity and venue information for **specific user requests**.
- RERG also leads image recall, indicating that **more relevant images** are provided and a **better coordination between modalities** is achieved.

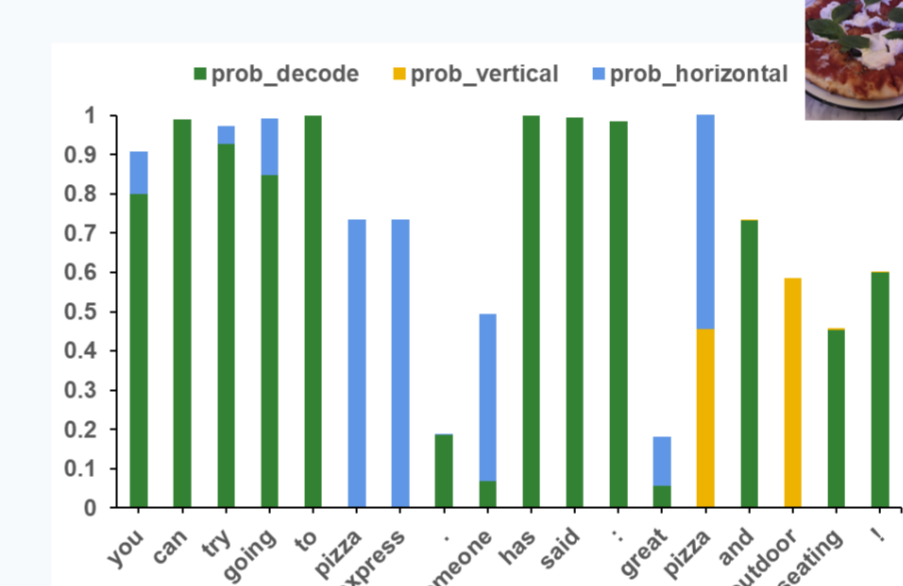
Explainability

Ground-truth Response You can try going to *Pizza Express*.

Generated Response You can try going to *Pizza Express*.
Someone has said: *Great pizza and outdoor seating!*

Context

Usr: I am thinking of a *pizza* place that has *outdoor* seating.
Sys: Is there anything else you would like?
Usr: I would like if they have moderate prices and if they accept credit cards.



Experienced Response

You can try going to *Pizza Express*. Someone has said: *Great pizza, great service, tables to eat outside, perfect on a weekday evening after work. And I would recommend the pizza like in the picture.*

I would recommend their *pizza* like in the picture.

Noted! In this case, I would recommend *Pizza Express*.

RERG could explain how each component (**vallina decoding** in green, **vertical copy from context** in orange, and **horizontal copy from similar cases** in blue) contributes to the prediction probability of each generated word.

Study on Unseen Situations

- Experiment setting:** Split training & testing set to dialogues that happen under a user goal π (additional training cases & held-out test set) and those happen under other goals (new training set & remaining test set). Evaluate task completion by Entity F1.

Method	Scenario	Remaining	Held-out
MMConv	Train on original cases	49.07	11.54
	+ Fine-tune on additional cases	44.06	69.23
	+ Fine-tune on all cases	47.39	57.69
RERG	Train on original cases	49.55	11.54
	+ Add back to retrieve datastore	49.55	65.38

Existing models requires **time-consuming retraining** and suffer from the problem of **catastrophic forgetting**.

To handle unseen situations, RERG provides a **computationally much cheaper** way: just need **add few similar cases** into the **retrieve datastore**, and then let the **reuse module** to construct response **with the new top-ranked cases**.

Conclusion

Main contributions

- ✓ Propose a neural case based reasoning framework to reuse context and retrieved experiences for multimodal response generation.
- ✓ Generate more context-specific responses to fulfill user requests.
- ✓ Achieve better coordination between text and image modalities.
- ✓ Show explainability and generalizability of proposed model.

Future work

- ✓ Explore avenues for end-to-end learning for case based reasoning.
- ✓ Improve the strategy planning part in handling dialogue situations that require consecutive turns of actions.